

2861

**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
05030016AA

In Re Application Of: Otose et al.

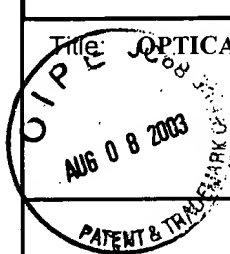
Serial No.  
09/670,596

Filing Date  
9-29-00

Examiner  
Pham, Hai Chi

Group Art Unit  
2861

Title: OPTICAL PRINTER HEAD WITH INTEGRATED DRIVE CIRCUITRY



TO THE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE:

Transmitted herewith is:

- 1 IDS Submission
- 1 postcard + copy
- 1 PTO form 1449
- 3 associated art documents
- 1 transmittal letter

in the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
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Whitham, Curtis & Christofferson P.C.  
Michael E. Whitham  
Reg. 32,635  
11491 Sunset Hills Road  
Suite 340  
703-787-9400  
Customer # 30743

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Director of the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450.

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8/25/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Otose et al.

Serial No. 09/670,596

Group Art Unit: 2861

Filed: 9-29-00

Examiner: Pham, Hai Chi

For: **OPTICAL PRINTER HEAD WITH INTEGRATED DRIVE CIRCUITRY**

Commissioner of Patents  
P.O. Box 1450  
Alexandria, Va. 22313-1450

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INFORMATION DISCLOSURE STATEMENT

Sir:

Under the provisions of 37 C.F.R. 1.97 through 1.98 and pursuant to applicant's duty disclosure under 37 C.F.R. 1.56, applicant respectfully brings the following documents, cited in a Japanese Office Action for the corresponding application and listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner.

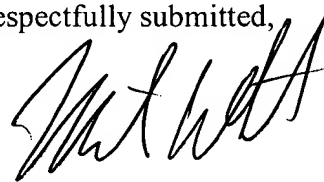
This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

I hereby certify the each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to filing of this statement.

In compliance with the requirements of 37 C.F.R. §1.98 (a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits translations of portions of the official action by the foreign examiner in which the reference was


cited. The relevance of the pending U.S. patent application in that the reference was cited in a foreign patent application of the same subject matter. However, no independent analysis of the reference, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the foreign examiner's comments submitted herewith. It is respectfully requested that the listed references be considered by the Examiner and be formally made of record in the application. Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-2041.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Michael E. Whitham', written in a cursive style.

Michael E. Whitham

Whitham, Curtis & Christofferson P.C.  
Registration No. 32,635  
11491 Sunset Hills Road  
Suite 340  
Reston, Va. 20190  
703-787-9400  
**Customer # 30743**

<div style="text-align: center;">  <p><b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p> </div>	Docket Number (Optional) <b>05030016A</b>	Application Number <b>09/670,596</b>
	Applicant(s) <b>Otose et al.</b>	
	Filing Date <b>9-29-00</b>	Group Art Unit <b>2861</b>

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	1	10-119351	5-12-98	Japan		abstract	✓	
	2	9-136449	5-27-97	Japan		abstract	✓	

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

1	Japanese Office Action dated 6-10-03 with English translation of pertinent portions	<div style="writing-mode: vertical-rl; transform: rotate(180deg);"> RECEIVED AUG 13 2003 TECHNICAL CENTER 2800 </div>

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.